

5/12/75

00/019277

531 Rec'd PCT/PTC 02 JAN 2002

TRACK-FOLLOWING SYSTEM FOR THE RECORDING/READING OF A
DATA MEDIUM AND RECORDING MEDIUM

5 The invention relates to a track-following system for the recording/reading of a data medium, especially for a multitrack data medium such as a magnetic tape with multitacks placed longitudinally over the tape.

10 The invention is applicable especially to reading magnetic or optical recordings and, in this context, to the reading of a high-density recording. It is preferably applicable in recording systems such as computing peripherals and all professional systems.

15 The invention can be extended to recordings on optical tape and on magnetic or optical disk, when it is desired to read several tracks in parallel therefrom.

20 High-density recording on parallel tracks presents a twofold problem for track following and separation rereading. The small width of the tracks (less than 20 μ m) means that it is difficult on reading a tape to ensure the accuracy of track following on the basis of the single mechanical guidance at the edge of the tape.

25 The need to guarantee the interoperability of the tapes and of the readers aggravates this difficulty.

30 Moreover, obtaining a high signal-to-noise ratio on reading requires rereading the entire track width, which excludes the existence of a barrier between tracks and induces track-to-track reading cross-talk phenomena.

35 The increase of the longitudinal track density of linear record/read systems makes it necessary to put in place high-performance track-following systems, making it possible to position the read system facing tracks written with a small residual error compared to the

0019277 010202

track width. This is because the accuracy of positioning and of guidance given by the tape transport mechanism is no longer enough to naturally guarantee good positioning.

5

Some systems make recordings possible on adjoining tracks with a step less than 10 μm . Reading a recording of this sort may be done optically as is described in French patent No. 89 17313 (see figure 1). A multipixel detection device TL6 receives a light beam carrying data read on the magnetic tape BD. So that the pixels receive the track data from the tape and that each may read a specific track, a system TL5 for deflecting the read beam is provided between the tape and the detection device. For example, a mirror or plate activator makes it possible to deflect the optical read beam at a speed high enough to continuously provide the proper positioning of the pixel of the detection device with respect to the corresponding tracks.

20

The track-following systems usually implemented in linear recorders are based on the continuous or periodic reading of one or more control tracks on which defined signals ("pilot" frequencies for example) have been recorded. A position error signal is extracted from the processing of the signal of this (these) track(s), and acts on an actuator, which displaces the read beam with respect to the detectors.

30

In the case of multitrack technology with an optical reader, the simultaneous access to a large number of adjoining tracks makes it possible for an error signal to be directly prepared from the observation of the read cross-talk between these tracks. The error signal may then be exploited in order to control the position of the actuator.

35

French patent No. 92 15474 describes a control device based on this method. This system provides "relative"

10019277-010202

positioning, that is to say that it allows the proper centering of the photosensitive elements of the read detector (pixels) on the center of the reread tracks. It is completed by a second device which prepares an error signal if the relative positioning does not make the pixel j correspond to the track j.

The invention supplies a system which has a shorter response time than the known systems and which allows a faster track-following correction. Furthermore, this system is less restrictive for the recording format.

The invention therefore relates to a system for reading a magnetic medium having several tracks of data which can be read in parallel, and comprising a detection device having at least as many detectors as there are tracks, making it possible to read simultaneously and at regular intervals a sample of data on each track, said detection device having a parallel/series shift register receiving in parallel the samples of data read by the detectors at each read time and retransmitting them in series form, characterized in that it comprises:

- ♦ a processing circuit receiving each sample of data to be processed from each track, together with the sample of a first adjacent track and the sample of a second adjacent track, multiplying the value of said sample to be processed:
 - by +1 when the sample of the first adjacent track is negative and the sample of the second adjacent track is positive;
 - by -1 when the sample of the first adjacent track is positive and the sample of the second adjacent track is negative;
 - by 0 when the samples of the adjacent tracks are of the same sign;
- ♦ an integration circuit receiving each sample value thus multiplied, integrating said values obtained

10019377.010202

at each read time, then integrating the values obtained at following read times;

- ♦ a relative track-following control circuit receiving the result of integration of the integrator circuit and supplying a track-following control signal for the detection device.

The invention also relates to a recording medium comprising several tracks recordable in parallel, characterized in that said tracks each comprise a preamble zone recorded or recordable in parallel, said zones containing data making it possible to locate the tracks one with respect to the others.

The various objects and characteristics of the invention will become more clearly apparent in the following description made by way of example and in the appended figures which show:

- figure 1, a magnetic tape read system known in the prior art;
- figure 2, a general exemplary embodiment of the system of the invention;
- figure 3, an example of positioning detectors with respect to the tracks of a magnetic tape;
- figure 4, a general exemplary embodiment of a circuit for detecting the relative position of tracks of a recording medium with respect to a detection device;
- figure 5, a detailed exemplary embodiment of the circuit of figure 4;
- figure 6, a detection device combined with a multitrack recording medium;
- figure 7, an organizational example of an absolute track-following system according to the invention;
- figure 8, an example of a circuit making it possible to implement an absolute track-following control circuit according to the invention.

10019277.010202

10019277-010202
2022070 2225T00T

According to the invention, the function of preparing the error signal is independent of the cross-talk correction system, which makes the independent optimization of the two functions possible. Moreover, the absolute positioning method no longer requires the use of a particular modulation code to which violations have just been made, but is based on independent zones of the data zones, thus making it possible to use any type of modulation code adapted to the channel. The particular signals used for the absolute positioning may be chosen according to the overall performance desired (time for detecting the absolute position). Finally, absolute and relative positions are now dissociated, which makes a much shorter establishment time possible.

The general operation is as follows:

- the read system has a greater number of elements (pixels) than the number of tracks;
- the relative positioning loop aligns the pixels on the closest tracks;
- a system for detecting absolute position determines where the physical tracks are located or, which is equivalent, indicates the number of the pixel corresponding to the first track (track 0);
- the read electronics processes the signals from all the pixels;
- the position data of the tracks is transmitted to the electronics for processing decoded data, which, itself, only processes the data from the physical tracks.

Figure 2 shows a general diagram of the system of the invention. This system comprises a detection device TL6 comprising a row of detectors tli. The width of this row of detectors is wider than the width of the tape BD to be read. For example, as indicated in figure 2, for

80 tracks, the detector device TL6 comprises 96 detectors tli. The detector device TL6 comprises in a known manner a circuit CCD (not shown) making it possible to transmit the signals detected on the tracks to the processing circuits. These processing circuits comprise an analog-digital converter CAN and a digital processor CC capable of processing the data from the 96 detectors. A circuit DTR for positioning the detection device with respect to the tracks is combined with the processor CC and controls a system TL5 for controlling the position of the detection device with respect to the tracks. A circuit DTA for detecting the absolute position of the detectors with respect to the tracks is also combined with the processor in order to indicate the relationship of the position of the detectors with respect to the tracks.

First of all, we will describe the design and an exemplary embodiment of the relative positioning circuit DTR.

In an ideal multitrack read system, each read element i delivers, at time k , a signal $x_{i(k)}$ proportional to the symbol $a_{i(k)}$ written on this track.

In practice, the read signal undergoes deformations owing to

- 1 - the presence of noise $b_{(k)}$
- 2 - interference between successive symbols of one and the same track (intersymbol interference) as a result of the limited bandwidth of the channel
- 3 - interference between adjacent track symbols (intertrack cross-talk) as a result of separation faults between tracks of the read system
- 4 - interference between symbols of adjacent tracks as a result of faults in positioning and guiding of the recording medium or of the read/write heads.

10019277-010202

Figure 3 shows a set of parallel tracks of width w , and the read system, positioned with a separation d with respect to the optimum position.

- 5 The read signal of the head element i at time k is equal to:

$$x_{i(k)} = \left(1 - \frac{d}{w}\right) \cdot a_{i(k)} + \frac{d}{w} \cdot a_{i-1(k)} + b_{(k)}$$

- 10 Let us assume that the signal $a_{i-1(k)}$ written on the track $i-1$ is known. It is possible to calculate the intercorrelation of $x_{i(k)}$ and of $a_{i-1(k)}$.

$$C_{i,i-1} = \frac{1}{N} \sum_k x_{i(k)} \cdot a_{i-1(k)}$$

15

$$C_{i,i-1} = \frac{1}{N} \sum \left[\left(1 - \frac{d}{w}\right) \cdot a_i + \frac{d}{w} a_{i-1(k)} + b_{(k)} \right] a_{i-1(k)}$$

$$C_{i,i-1} = \frac{1}{N} \sum \left[\left(1 - \frac{d}{w}\right) \cdot a_{i(k)} \cdot a_{i-1(k)} + \frac{1}{N} \sum \frac{d}{w} a_{i-1(k)} \cdot a_{i-1(k)} + \frac{1}{N} \sum b_{(k)} \cdot a_{i-1(k)} \right]$$

- 20 The first term represents the intercorrelation of the signals written on the tracks i and $i-1$. If the signals of the tracks are statistically independent, this term is zero.

- 25 Likewise, the last term represents the intercorrelation of the noise and of the signal. If the noise is independent of the signal, this term is zero.

- 30 The second term represents the autocorrelation of the signal of the track $i-1$. This is the energy of the signal over n samples. It is constant on average, and we will take it to be equal to 1 by convention.

Thus

$$C_{i,i-1} = \frac{1}{N} \sum \frac{d}{w} \cdot a_{i-1(k)} \cdot a_{i-1(k)} \approx \frac{d}{w}$$

In the case shown in the figure, $C_{i,i+1}$ is zero. If the separation of the detector with respect to the tracks is in the other direction,

$$C_{i,i-1} = 0$$

$$C_{i,i+1} \approx \frac{d}{w}$$

It is therefore possible to extract a position error signal based on the signals of the track i:

$$C_{i,i-1} - C_{i,i+1} \approx \frac{d}{w}$$

In order to improve the quality of this signal, it is advantageous to carry out this calculation for each of the tracks, and to take the average of the results

$$\frac{d}{w} \approx \frac{1}{p} \sum [C_{i,i-1} - C_{i,i+1}]$$

According to the invention, the calculation is carried out directly, in order to overcome the response time and rounding off restrictions for optimum operation of the cross-talk corrector.

At time k:

$$\hat{a}(k) = \frac{1}{p} \sum_i C_{i,i-1}(k) - C_{i,i+1}(k)$$

$$C_{i,i-1} = \frac{1}{N} \sum_k x_{i(k)} \cdot a_{i-1(k)}$$

The signals $a_{i(k)}$ recorded on the tracks are approximated by the sign of the samples reread on this

10019277 010202

track. A simplified decoding of the signal is thus carried out. The benefit of this simplified decoding is that it is not necessary to wait for the convergence of the various devices for processing the signal that is generally found in the read channel (adaptive equalizer, PLL, etc.) to make the decoded signal available. The approximation only generates excess noise in the position error estimate:

$$C_{i,i-1} \# \frac{1}{N} \sum_k x_i(k) \cdot \text{sgn}(x_{i-1}(k))$$

hence:

$$\dot{a}(k) = \frac{1}{NP} \sum_i \sum_k [x_i(k) \cdot \text{sgn}(x_{i-1}(k)) - x_i(k) \cdot \text{sgn}(x_{i+1}(k))]$$

or else:

$$\dot{a}(k) = \frac{1}{NP} \sum_i \sum_k x_i(k) \cdot [\text{sgn}(x_{i-1}(k)) - \text{sgn}(x_{i+1}(k))]$$

This last form makes it particularly easy to insert the algorithm.

Figure 4 shows a simplified exemplary embodiment of the relative positioning circuit DTR.

This circuit receives at its input IN the data samples transmitted on the series output of the CCD of the detection device TL6. Each successive sample is transmitted to a multiplier M1 where it is multiplied by a factor 1, -1 or 0 supplied by the circuit DS depending on the signs of the adjacent samples.

The samples multiplied in this way are integrated in an integration circuit I1. The output OUT of the integrator supplies an error signal making it possible

2020T0 226T00T

to correct the relative position error of the detection device with respect to the tracks.

- 5 The circuit DS makes it possible to determine the cross-talk correction multiplication factor K for each sample. For a sample x_i , this factor K takes one of the values -1, +1 or 0 by applying the following table:

Value of the factor K	Sign of the sample x_{i-1}	Sign of the sample x_{i+1}
-1	> 0	< 0
+1	< 0	> 0
0	> 0	> 0
0	< 0	< 0

- 10 It can therefore be seen that if the samples have the same sign, the factor K is zero. If they have opposite signs, the factor K has the value +1 or -1 as indicated by the table.
- 15 Figure 5 shows a detailed exemplary embodiment of the DTR circuit.

- This circuit comprises two delay circuits R2 with equivalent delays making it possible to transmit at the same time as a sample x_i , the previous sample x_{i-1} and the following sample x_{i+1} to the multiplier M1. The sample x_i is transmitted to the multiplier M1, firstly, as it is and, secondly, multiplied by -1. With regard to the samples x_{i-1} and x_{i+1} , only the signs of these samples are transmitted by the circuits sgn1 and sgn2. The circuit M1 also receives a signal representing the value 0 of a sample. The circuit M1 is designed to compare the signs of the samples x_{i-1} and x_{i+1} and to control, by applying the above table, the transmission on the output of the circuit M1, that is the signal $+x_i$, or the signal $-x_i$ or the signal 0.
- 20
- 25
- 30

202070722001

The processing carried out on the successive samples contained in the CCD of the detection device TL6 are integrated into the integrator I1.

- 5 The data samples from the different tracks detected at a particular time and contained in the CCD register of the detection device are therefore processed one after the other as has just been described and the results of the processing are integrated into the integrator I1.
- 10 Next, the samples from the different tracks detected at the subsequent times are processed in the same way and integrated after the previous ones. The integration can be carried out in the same integrator I1.
- 15 The depth of the integrator depends on the mean amplitude of the samples, on their rate, and on the desired response time. By way of indication, for a rate of 15 million samples per second, a depth of about 20 bits makes it possible to obtain a bandwidth of the
- 20 control loop of about 30 Hz in order to obtain a short lock-on time without being detrimental to the accuracy of the system in normal operation, it is possible to change the time constant between the lock-on mode and the track-following mode.
- 25 The error signal coded over 8 bits consists of the high-weight bits of this integrator. It is then converted to an analog quantity, filtered then adapted to the control of the electromagnetic motor.
- 30 The result of the integration makes it possible to control the adjustment of the position of the detection device with respect to the tracks of the tape, or, which amounts to the same thing, in the case of optical
- 35 reading of the tracks, to adjust the deflection of the read beam towards the detection device. Since the detectors of the detection device are adjusted virtually on the centers of the tracks, the numbers of the tracks read should then be identified.

10019277.010202

10019277.010202
The relative position detection circuit DTR enabling
the position of the detectors to be adjusted with
respect to the centers of the tracks read will now be
5 described. However, as has also been seen (figure 2),
the detection device comprises a greater number of
detectors than there are tracks. Nevertheless, all the
detectors are taken into account in reading. It is
therefore necessary to detect the detectors PHS1 and
10 PHS2 which are not used in reading the tracks
(figure 6). The absolute position detection circuit DTA
makes it possible to detect these detectors and to
identify the detector corresponding to the first track,
that corresponding to the second track, etc.

15 According to the invention, zones called preamble
zones, containing signals of particular characteristics
in order to detect the tracks, are provided here and
there on the recording medium.

20 In this preamble zone, at least some tracks, or even
all the tracks, contain identification data making it
possible to indicate the number of the tracks.

25 Under these conditions, after having positioned the
detection device with respect to the tracks, the
detectors which read identification data make it
possible to identify the numbers of the tracks which
they read.

30 The system therefore identifies, on the one hand, the
detectors used for reading tracks and the numbers of
the tracks that they read.

35 A method preferred according to the invention is to
impose the signals having a positive or negative
continuous component depending on the tracks, in the
preamble zone.

Moreover, these signals will preferably have characteristics required by other considerations. For example, it is desirable that these signals have little track-to-track correlation so as not to cause the malfunction of the relative position detection circuit DTR. Moreover, they must be capable of being transmitted and exploited correctly by the system (spectral characteristics adapted to the channel, probability of transitions allowing the proper operation of the phase locked loop). For example, one method consists in using, for this region, pseudo-random signals coded according to the 8/10 modulation code or a set of specific words of this code, with alteration of the coding. In this system, only the preamble zone is affected by this coding. A variant may consist in keeping this code in the data zones, if the 8/10 code is used in the data zone.

The 8/10 code with a zero continuous component has a certain number of code words with a nonzero continuous component ($DC = \pm 2$). The normal use of this code provides that a word with a positive continuous component must be followed by a word with a negative continuous component. If this rule is violated systematically or periodically, a nonzero continuous component is generated on the corresponding track. The integral of this continuous component is generally called DSV (Digital Sum Value). The idea is to make the DSV of each track moderately increasing or decreasing according to a pattern defined for all the tracks and thus to create an absolute track reference. On reading, the increasing DSV tracks are then distinguished from the decreasing DSV tracks. On writing, the DSV of the tracks are made alternately increasing over n tracks then decreasing over n following tracks.

This leads to a modification qualified as "alteration" on the algorithm of the initial coding. "Modulation of

10019277.010202

the DSV" will also be mentioned in order to qualify an increasing or decreasing variation thereof.

10019277.010202
5 The method for making a DSV increasing consists in not compensating a word coded DC = +2 with a word coded DC = -2 and of altering the DSV by therefore authorizing two consecutive words coded DC = +2. To make the DSV decreasing, it is enough, in contrast, to twice compensate a word coded DC = +2 by a word coded
10 DC = -2 and therefore to authorize two consecutive words coded with DC = -2. It is also possible to systematically violate the encoding rule, which generates a stronger continuous component at the cost of a greater decoding penalty, if the continuous
15 component is not reconstructed.

20 In order to evaluate the continuous component on a track, the series of decoded bits on this track are integrated for a given time, and it is examined whether the result is positive or negative.

25 Rather than integrate the decoded bits track by track, it is possible to make the correlation between the expected result and the result which is actually observed.

30 For example, for an alteration of an 8-track period DSV, that is to say 4 consecutive positive DSV tracks and 4 consecutive negative DSV tracks, the algorithm is as follows:

$$S1 = \text{sum} [(b_0 + b_1 + b_2 + b_3) - (b_4 + b_5 + b_6 + b_7)]$$

$$S2 = \text{sum} [(b_2 + b_3 + b_4 + b_5) - (b_6 + b_7 + b_0 + b_1)]$$

where b_i represents the signal samples of the track i modulo 8.

35

The value taken by S1 and S2 depending on the position of the tracks is represented in figure 7.

It is then possible to calculate from the values of S1 and S2, by means of a correspondence table, the difference in position of the tracks with respect to their theoretical position. A table of this sort is as follows:

S1	-2	-1	0	1	2
S2					
-2			2		
-1		3			
0	4				0
1		5		7	
2			6		

Conversely, having calculated the values of S1 and S2, the shift of the tracks is thereby calculated.

10

In order to reduce the probability of error, it will be possible to normalize the values taken by S1 and S2 by noting that $|S1| + |S2| = \text{constant}$.

15

It is therefore possible, by modulating the 8-track period DSV, to obtain data on the position of the modulo 8 tracks. If a larger range is required without uncertainty, it will be possible to increase this period.

20

A circuit carrying out this function is shown in figure 8.

Use of any code words

25

In the following, it is supposed that the code words are chosen randomly, but that a systematic violation of the encoding rule is applied according to the tracks.

30

The code comprises 256 words, of which 103 are with a nonzero DSV ($DS = +2$ or -2). For an equiprobable

10019277.010202

message, the probability of occurrence of a nonzero DSV word is therefore 103/256. On average, the DSV of this sequence is:

$$+2 \cdot 103/256 = 0.805$$

5 while the number of corresponding channel symbols is 10.

The continuous component generated by the code violation is then 0.08. This continuous component
10 barely penalizes the operation of the system, and in particular the decoding (0.72 dB). The noise on the error signal can be evaluated as follows:

For a measurement frequency of $B = 100$ Hz, the number
15 of symbols processed per integration period $1/B$:

$$n = D/B$$

where D is the raw bit rate.

The fluctuation of the contents of the integrator is
20 V_n .

Typically, on a 64 track application, $D = 10$ Mb/s and $V_n = 316$.

25 In the case of a track difference, the error signal is then, over the same period:

$$0.08 \cdot D/B = 8\ 000$$

That is, an S/N of 29 dB.

30

The optimum depth of the accumulator remains to be evaluated. It depends on the desired detection time.

Use of particular code words

35

In a preamble, it is possible to provide specific code words. In particular, it is possible to only use nonzero DSV words, which makes it possible to gain a factor $256/103 = 2.5$, that is an improvement of 8 dB on

10019277.010202

the S/N, with, however, a substantial increase in the penalty on the decoding (2 dB), which does not pose a problem in this preamble zone.

5 **Use of words not belonging to the code table**

It is quite possible to choose words not belonging to the 8/10 code, and to combine them within the track and between tracks, in order to optimize the overall
10 operation of the system.

Other modes for detecting the position of the tracks

It is also possible to use other means for identifying
15 the tracks, for example, a specific signal (pure frequency or single sequence) over one or more tracks. The criteria for choosing between solutions are the robustness (generally better if the detection is carried out on a set of tracks) and simplicity of
20 implementation.

Exploitation of the absolute position signal

The detection system delivers a position signal (flag)
25 of at least one track, for example the zero track, in synchronism with the decoded bits of this track (figure 2). This data is used by the system for rearranging data (deformatting electronics) in order to retain only the data corresponding to the tracks
30 actually written (80/96 in our example).

10019277.010202